

**PATENT**

**Practitioner's Docket No. (See Attachment A)**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: (See Attachment A)  
 Application Number: (See Attachment A)  
 Application Filing Date: (See Attachment A)  
 Patent Number: (See Attachment A)  
 Patent Issuance Date: (See Attachment A)  
 Invention Title: (See Attachment A)

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY**  
 (37 C.F.R. § 1.27(g)(2))

1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Beth A. Vrioni  
 Signature

Assignee:

Spinal Concepts, Inc.  
 5301 Riata Park Court  
 Bldg. F  
 Austin, TX 78727

Person authorized to sign on behalf of assignee

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)**  
 I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 8/19/04

Kimberly A. Iorio  
 Signature  
 Kimberly A. Iorio

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**

**Attachment A**

NO. 1321 00835900568	10/17/2000	Granted	5/13/2003	8662040	5259-00100USC1	Spinal Fixation System	Erik J. Wagner	00835900568 Recorded 1/2/1971
0893129								
0893776	6/19/1998	Granted	10/17/2000	6132430	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	00835900568 Recorded 1/2/1971 008802010182 Recorded 12/31/97; 01/42/10/003 Recorded 6/28/03
09143424	11/14/1999	Filed			5259-00100USD2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	00835900658 Recorded 1/2/1971
08740123	10/24/1998	Granted	7/9/2002	6416515	5259-001100USC1	Spinal Fixation System	Erik J. Wagner	008802010192 Recorded 1/23/97
08942325	10/1/1997	Granted	7/22/2003	6595992	5259-00100USP1	Method and Apparatus for Spinal Fixation	Erik J. Wagner	01421010803 Recorded 8/28/03
08102571	2/20/1998	Granted	11/23/1999	5869250	5259-00100USP2	Method and Apparatus for Spinal Fixation	Erik J. Wagner	008603030208 Recorded 11/23/98; 00875610146 Recorded Reported 10/14/97
08605200	8/27/2000	Granted	6/10/2003	6576016	5259-00200USC1	Adjustable Height Fusion Device	Stephen Hutschuler	008803030208 Recorded 11/23/98
09115178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device	Stephen Hutschuler	00875610146 Recorded 10/14/97
08847172	5/1/1997	Granted	4/4/2000	6045573	5259-00200USO1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	0084420084 Recorded 8/13/98
089048759	3/24/1998	Granted	11/14/2003	6641614	5259-00200USP1	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	0084220983 Recorded 8/24/98
080707018	4/30/1998	Granted	3/16/2004	6706070	5259-00200USP2	Surgical Cable System and Method	Erik J. Wagner	0089850594 Recorded 2/9/98
089211857	12/15/1998	Granted	8/10/1999	5835133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	0089850594 Recorded 2/9/98
091211888	12/15/1998	Granted	5/21/2002	6391030	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	0089850594 Recorded 2/9/98
088618127	8/28/1997	Granted	10/12/1999	5984769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	0083510189 Recorded 7/2/98 0088740023 Recorded 4/2/99
090085186	5/28/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	01039870024 Recorded 11/22/99
092380062	7/23/1999	Granted	1/27/2004	6682533	5259-00500USD2	Surgical Cable System and Method	Erik J. Wagner	

**LIST OF PATENTS AND APPLICATIONS; OR, RE. NO. [RE] [RE]**

(37 C.F.R. 1.27(c)(3))

Attachment A

				NO. 1321 P. 16	
09/05/01/12	12/28/2001	Filed		5259-00600USC1	System and Method for Stabilizing the Human Spine with a Bone Plate
09/05/01/27	02/27/1998	Granted	9/24/2002	8454769	System and Method for Stabilizing the Human Spine with a Bone Plate
09/05/01/29/0	6/10/1998	Granted	2/29/2000	6030389	System and Method for Stabilizing the Human Spine with a Bone Plate
09/05/01/31/1	7/19/1997	Granted	7/27/1999	5928243	Pedicle Probe and Depth Gage
09/05/01/31/5	6/23/1994	Granted	4/16/1998	5507211	Ocipito-Cervical Releasable Socket
09/15/93/03	8/23/1998	Granted	11/14/2000	6146382	Stabilization System and Method
09/07/03/23	4/30/1998	Granted	8/8/2000	6099527	Bone Protector and Method
09/05/01/82	4/13/1998	Granted	5/16/2000	6063019	Straight Mounted Polyaxial Pedicle Screw
09/07/24/07	12/23/1996	Granted	7/7/1998	5776135	Side Mounted Polyaxial Pedicle Screw
09/05/01/08	4/13/1998	Granted	10/31/2000	6139548	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus
08/15/01/77	10/30/1995	Granted	5/19/1998	5752855	Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus
09/07/01/34	4/30/1998	Granted	10/5/1999	5981518	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**

**Attachment A**

NO. 1321 [REDACTED]	Patent No.	Issue Date	Serial No.	Application No.	Inventor(s)	Patent Type
09/251977	3,411,989	Granted	1/25/2000	0017344	[REDACTED]	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism.
09/656773	5,151,987	Granted	7/28/1998	5785711	[REDACTED]	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism
09/880310	6,231,987	Granted	9/22/1998	5810819	[REDACTED]	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism
09/070268	4,730,198	Granted	12/7/1998	5987539	[REDACTED]	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism
08/622891	3,791,986	Granted	8/5/1997	5853763	[REDACTED]	Intervertebral Space Shape Conforming Cage Device
08/557144	1,241,985	Granted	9/16/1997	5887507	[REDACTED]	Advanced Compression Locking Variable Length Rod Apparatus
08/632861	4,151,986	Granted	1/20/1998	5709884	[REDACTED]	Cross-Link Device
08/228287	1,113,1989	Granted	9/26/2000	6123707	[REDACTED]	Reduction Instrument
09/282699	4,231,988	Granted	9/3/2002	6442814	[REDACTED]	Apparatus for Manufacturing a Bone Dowel
09/388964	8,851,988	Granted	5/6/2003	6557226	[REDACTED]	Apparatus for Manufacturing a Bone Dowel
09/532387	3,222,000	Granted	5/20/2003	6585666	[REDACTED]	Sacral Screw Assembly and Method

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**

Attachment A

P. 18/19

NO. 1321					
09/015205	11/19/2001	Filed	5259-03700USC1	System and Method for Stabilizing the Human Spine within a Bone Plate	Jim Freid 0107320443 Recorded 4/20/00
09/479458	1/6/2000	Granted	12/18/2001 6331178	5259-03700US01 System and Method for Stabilizing the Human Spine with a Bone Plate	Jim Freid 0107320443 Recorded 4/20/00
09/981178	8/24/2001	Granted	9/9/2003 6816651	5259-04000USC1 Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry 0107650303 Recorded 5/5/00
09/478523	1/6/2000	Granted	9/10/2002 6844761	5259-04000US01 Instrument and Method for Implanting an Interbody Fusion Device	Michael E. Landry 0107850303 Recorded 5/5/00
09/755183	7/5/2001	Granted	2/25/2003 6524312	5259-04000USP1 Instrumentation and Procedure for Implanting Spinal Implant Devices	Michael E. Landry 0143800281 Recorded 8/11/03
10/387329	3/1/2003	Filed	5259-04500US01	Instrumentation and Equipment for Implanting Spinal Implant Devices	Michael E. Landry 0144030784 Recorded 8/13/03
10/387363	3/11/2003	Filed	5259-04500US02	Spinal Implant Devices	Michael E. Landry 0143830748 Recorded 8/11/03
10/587361	3/1/2003	Filed	5259-04500US03	Sinal Implant Including a Compressible Connector	Robert J. Jones 0128570740 Recorded 1/3/02
09/952209	9/1/2001	Granted	6/8/2004 6748449	5259-04000US01 Spinal Rod Translation Instrument	Grady G. McBride 0115150894 Recorded 2/12/01
09/684628	10/6/2000	Filed	5259-04700US01	Transverse Connector with Cam Activated Engagers	Grady G. McBride 0115150894 Recorded 2/12/01
09/884218	10/6/2000	Filed	5259-04700US01	Adjustable Transverse Connector	Grady G. McBride 0115530900 Recorded 3/15/01
09/680756	10/6/2000	Filed	5259-04900US01	Adjustable Transverse Connector with Cam Connector with Cam Activated Engagers	David J. Krueger 0141570620 Recorded 6/8/03
10/337219	1/8/2003	Filed	5259-07800US01	Surgical Implants for Use as Spinal Spacers	David J. Krueger 0141570620 Recorded 6/8/03

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**(37 C.F.R. 1.27(c)(3))**

**Attachment A**

NO.	Serial Number	Kind	Application or Patent Number	Title	Inventor(s)	Date Recorded
1020024	7/18/2002	Filed		Spinal Stabilization System and Method	Margaret E. Mitchell Javier Garcia-Benghoza	0134490005 Recorded 10/29/02 0137880020 Recorded 2/24/03
10251245	11/8/2002	Filed	5259-0830US01	Spinal Implant	Javier Garcia-Benghoza	01378800320 Recorded 2/21/03
10281223	11/8/2002	Filed		Instruments and Methods for Inserting a Spinal Implant	Jim Freid	0141810807 Recorded 5/16/03
10356391	1/5/2003	Filed	5259-0920US01	Spinal Plate Extender System and Method	Jim Freid	0143970674 Recorded 8/14/03
10351283	1/23/2003	Filed	5259-0990US01	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	0143020118 Recorded 7/15/03
10351288	1/24/2003	Filed	5259-0990US02	Spinal Plate System for Stabilizing a Portion of a Spine	Jim Freid	0128230549 Recorded 4/22/02
201155057	2/1/2002	Filed	5259-1000US01	Extension Plate	Reginald J. Davis	
10036052	12/28/2001	Granted	518/2004	Pseudo Arthrosis Device	Reginald J. Davis	0141640207 Recorded 6/12/03
10331191	12/27/2002	Filed		Pseudo Arthrosis Device	Davis	